

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. **823.0090USQ**
 Anticipated Classification of this Application
 Class: Subclass:
 Prior Application: **09/377,065** filed **August 19, 1999**
 Examiner: Art Unit:

Box Patent Application
 Commissioner for Patents
 Washington, D.C. 20231

Sir:

This is a request for filing a **XXX** continuation-in-part ____ continuation ____
 divisional application under 37 CFR 1.53(b), of pending prior application, Serial No.
09/377,065 filed on **August 19, 1999** of **Xumu Zhang** for **ASYMMETRIC**
CATALYSIS BASED ON CHIRAL PHOSPHOLANES AND HYDROXYL
PHOSPHOLANES .

The filing fee is calculated below:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT BELOW				
FOR	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE \$740.00
TOTAL CLAIMS	42 - 20 =	22	x \$18.00	\$396.00
INDEPENDENT CLAIMS	6 - 3 =	3	x \$84.00	\$252.00
MULTIPLE DEPENDENT CLAIM FEE			x \$270.00 = \$0	
TOTAL FILING FEE			\$1388.00	
1/2 FILING FEE FOR SMALL ENTITY			\$694.00	

1. XX No fee enclosed – filing by missing parts.
2. ____ The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.
3. ____ Cancel in this application original claim(s) _____ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
4. ____ A new oath or declaration, new formal drawings and an affidavit or declaration verifying it as a true copy is enclosed.

5. _____ Amend the specification by inserting before the first line the sentence: ---
This is a _____ continuation, _____ division, of application serial no. _____,
_____ filed _____.

6. **XXX** The prior application is assigned of record to:
The Penn State Research Foundation

7. **XXX** The power of attorney in the prior application is to: **Paul D. Greeley**
a. The power appears in the original papers in the prior application.
b. Address all future communications to: **PAUL D. GREELEY, ESQ.**

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8. _____ A preliminary amendment is enclosed. (Claims added by this amendment
have been properly numbered consecutively beginning with the number next following
the highest numbered original claim in the prior application.)

9. **XXX** Priority of U.S. Patent Application Serial No. **09/377,065** filed on
August 19, 1999 is claimed under 35 U.S.C. §120;

10. **XXX** Priority of U.S. Provisional Patent Application Serial No. **60/097,473**
filed on **August 21, 1998** is claimed under 35 U.S.C. §119(e);

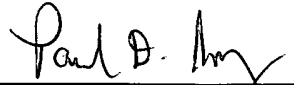
11. **XXX** The undersigned attorney has verified that the applicant is entitled to a
Small Entity Status;

12. _____ I hereby verify that the attached papers are a true copy of prior
application serial no. _____ as originally filed on
_____.

The undersigned declares further that all statements made herein of his own
knowledge are true and that all statements made on information and belief are believed
to be true; and further that these statements were made with the knowledge that willful
false statements and the like so made are punishable by fine or imprisonment, or both,
under section 1001 of Title 18 of the United States Code and that such willful false
statements may jeopardize the validity of the application or any patent issuing thereon.

11-6-01

(Date)



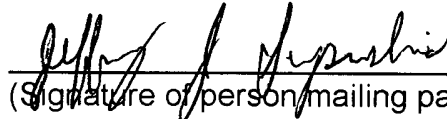
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CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Certificate No. **EV010449451US**, service under 37 CFR §1.10 and is addressed to: Box Patent Application, Commissioner for Patents, Washington, D.C. 20231, on **November 6, 2001**.

Jeffrey J. Scepanski

(Typed name of person mailing paper)



(Signature of person mailing paper)

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